

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MI *et al.*

Appl. No.: 10/553,685 (U.S. National
Phase of PCT/US2004/008323)

I.A. Filing Date: March 17, 2004

For: **NOGO Receptor Binding Protein**

Confirmation No.: 4041

Art Unit: *To be assigned*

Examiner: *To be assigned*

Atty. Docket: 2159.0440003/EJH/J-H

Information Disclosure Statement Under 37 C.F.R. § 1.97(b)

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Forms PTO/SB/08A and PTO/SB/08B are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Copies of documents **FP1-FP23** and **NPL1-NPL32** are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), copies of U.S. patents and patent application publications, documents **US1-US21**, cited on the attached Form PTO/SB/08A are not submitted.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

The Examiner's attention is directed to the following co-pending, related application:

U.S. Application No. 11/165,576, inventors Mi *et al.*, filed June 24, 2005, now published as U.S. Publication No. 2006/0009388 A1 (Document US20).

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

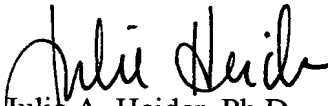
This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return copies of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



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Agent for Applicants
Registration No. 54,161

Date: October 19, 2006

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Sheet	1	of	3	Attorney Docket Number	2159.0440003/EJH/J-H

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	US1	4,399,216	08-16-1983	Axel <i>et al.</i>	
	US2	4,510,245	04-09-1985	Cousens <i>et al.</i>	
	US3	4,634,665	01-06-1987	Axel <i>et al.</i>	
	US4	4,968,615	11-06-1990	Koszinowski <i>et al.</i>	
	US5	5,168,062	12-01-1992	Mark F. Stinski	
	US6	5,179,017	01-12-1993	Axel <i>et al.</i>	
	US7	5,252,714	10-12-1993	Harris <i>et al.</i>	
	US8	5,428,130	06-27-1995	Capon <i>et al.</i>	
	US9	5,565,335	10-15-1996	Capon <i>et al.</i>	
	US10	6,190,887 B1	02-20-2001	Boyce <i>et al.</i>	
	US11	6,338,953 B1	01-15-2002	Boyce <i>et al.</i>	
	US12	6,686,451 B1	02-03-2004	Desnoyers <i>et al.</i>	
	US13	6,974,689 B1	12-13-2005	Ashkenazi <i>et al.</i>	
	US14	2003/0195163	10-16-2003	Wu <i>et al.</i>	
	US15	2004/0067490 A1	04-08-2004	Zhong <i>et al.</i>	
	US16	2004/0253605 A1	12-16-2004	McCarthy <i>et al.</i>	
	US17	2005/0123990 A1	06-09-2005	Lal <i>et al.</i>	
	US18	2005/0215770 A1	09-29-2005	Bell <i>et al.</i>	
	US19	2005/0214288 A1	09-29-2005	Bell <i>et al.</i>	
	US20	2006/0009388 A1	01-12-2006	Mi <i>et al.</i>	

FOREIGN PATENT DOCUMENTS						
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	FP1	EP 0 154 316 B1	09-11-1985	Takeda Chemical Ind., Ltd.		
	FP2	EP 0 401 384 B1	03-13-1996	Kirin-Amgen, Inc.		
	FP3	WO 86/005807 A1	10-09-1986	Celltech Limited		
	FP4	EP 0 256 055 B1	08-28-1991	Celltech Limited		
	FP5	EP 0 323 997 B1	04-21-1993	Celltech Limited		
	FP6	EP 0 338 841 B1	04-18-1989	Celltech Limited		
	FP7	WO 2002/014368 A2	02-21-2002	Curagen Corporation.		
	FP8	WO 2002/029058 A2	04-11-2002	Curagen Corp.		
	FP9	WO 2002/068579 A2	09-06-2002	PE Corporation (NY)		

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		Number-Kind Code ² (if known)			
	US21	2002/0123057 A1	09-05-2002	Zauderer <i>et al.</i>	
	US22				
	US23				
	US24				
	US25				
	US26				
	US27				
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	FP10	WO 2004/085648 A2	10-07-2004	Biogen IDEC MA Inc.		
	FP11	WO 2003/035833 A2	05-01-2003	EXELIXIS, Inc.		
	FP12	WO 2003/023008 A2	03-20-2003	Curagen Corporation		
	FP13	WO 2003/083047 A2	10-09-2003	EXELIXIS, Inc.		
	FP14	WO 2001/012662 A2	02-22-2001	Incyte Genomics, Inc.		
	FP15	WO 1999/006427 A1	02-11-1999	Millennium Biotherapeutics, Inc.		
	FP16	WO 2001/040466 A1	06-07-2001	Genentech, Inc.		
	FP17	WO 2005/079566 A2	09-01-2005	Lexicon Genetics Inc.		
	FP18	WO 1999/014328 A2	03-25-1999	Genentech, Inc.		

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	FP19	WO 2001/004311 A1	01-18-2001	Genentech, Inc.		
	FP20	WO 2000/015796 A2	03-23-2000	Genentech, Inc.		
	FP21	EP 1 574 520 A2	09-14-2005	Nuvelo, Inc.		
	FP22	WO 01/057262 A1	08-09-2001	HYSEQ, Inc.		
	FP23	EP 1 074 617 A2	02-07-2001	Helix Research Institute		
	FP24					
	FP25					
	FP26					
	FP27					

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NON PATENT LITERATURE DOCUMENTS			
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	NPL1	Rutishauser, U. <i>et al.</i> , "Cell Adhesion Molecules in Vertebrate Neural Deveelopment," <i>Physiol. Rev.</i> 68:819-857, American Physiological Society (1988)	
	NPL2	Brittis, P.A., <i>et al.</i> , "Nogo Domains and a Nogo Receptor: Implications for Axon Regeneration," <i>Neuron</i> 30:11-14 Cell Press (2001)	
	NPL3	Jones, L.L., <i>et al.</i> , "NG2 Is a Major Chondroitin Sulfate Proteoglycan Produced after Spinal Cord Injury and Is Expressed by Macrophages and Oligodendrocyte Progenitors," <i>J. Neurosci.</i> 22:2792-2803, Society for Neuroscience (2002)	
	NPL4	Grimpe, B., <i>et al.</i> , "The Critical Role of Basement Membrane-Independent Laminin γ 1 Chain During Axon Regeneration in the CNS," <i>J. Neurosci.</i> 22:3144-3160, Society for Neuroscience (2002)	
	NPL5	Chen, M.S., <i>et al.</i> , "Nogo-A is a Myelin-Associated Neurite Outgrowth Inhibitor and an Antigen for Monoclonal Antibody IN-1," <i>Nature</i> 403:434-439, Macmillan Magazines Ltd. (2000)	
	NPL6	Grandpré, T., <i>et al.</i> , "Identification of the Nogo Inhibitor of Axon Regeneration as a Reticulon Protein," <i>Nature</i> 403:439-444, Macmillan Magazines Ltd. (2000)	
	NPL7	McKerracher, L., <i>et al.</i> , "Identification of Myelin-Associated Glycoprotein as a Major Myelin-Derived Inhibitor of Neurite Growth," <i>Neuron</i> 13:805-811, Cell Press (1994)	
	NPL8	Mukhopadhyay, G., <i>et al.</i> , "A Novel Role for Myelin-Associated Glycoprotein as an Inhibitor of Axonal Regeneration," <i>Neuron</i> 13:757-767, Cell Press (1994)	
	NPL9	Mikol D.D. <i>et al.</i> , "A Phosphatidylinositol-Linked Peanut Agglutinin-Binding Glycoprotein in Central Nervous System Myelin and on Oligodendrocytes," <i>J. Cell. Biol.</i> 106:1273-1279, The Rockefeller University Press (1988)	
	NPL10	Wang, K.C., <i>et al.</i> , "Oligodendrocyte-Myelin Glycoprotein is a Nogo Receptor Ligand That Inhibits Neurite Outgrowth," <i>Nature</i> 417:941-944, Nature Publishing Group (2002)	

Examiner Signature		Date Considered	
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	NPL11	Domeniconi M., <i>et al.</i> , "Myelin-Associated Glycoprotein Interacts with the Nogo66 Receptor to Inhibit Neurite Outgrowth," <i>Neuron</i> 35:283-290, Cell Press (2002)			
	NPL12	Fournier, A.E., <i>et al.</i> , "Identification of a Receptor Mediating Nogo-66 Inhibition of Axonal Regeneration," <i>Nature</i> 409:341-346, Nature Publishing Group (2001)			
	NPL13	Kasper, C., <i>et al.</i> , "Structural Basis of Cell - Cell Adhesion by NCAM," <i>Nat. Struct. Biol.</i> 7:389-393, Nature America Inc. (2000)			
	NPL14	Carim-Todd, L., <i>et al.</i> , "LRRN6A/LERN1 (Leucine-Rich Repeat Neuronal Protein 1), a Novel Gene with Enriched Expression in Limbic System and Neocortex," <i>Eur. J. Neurosci.</i> 18:3167-3183, Federation of European Neuroscience Societies (2003)			
	NPL15	Chen, Y., <i>et al.</i> , "AMIGO and Friends: An Emerging Family of Brain-Enriched, Neuronal Growth Modulating, Type 1 Transmembrane Proteins with Leucine-Rich Repeats (LRR) and Cell Adhesion Molecule Motifs," <i>Brain Res. Rev.</i> 51:265-74, Elsevier (2006)			
	NPL16	Huang, J., <i>et al.</i> , "Glial Membranes at the Node of Ranvier Prevent Neurite Outgrowth," <i>Science</i> 310:1813-7 (2005)			
	NPL17	Li, W., <i>et al.</i> , "Neutralization of Myelin-Associated NOGO-A by a NOGO Receptor-FC Fusion Protein," <i>Society for Neuroscience Abstracts</i> ABS3332 (2002)			
	NPL18	Mi, S., <i>et al.</i> , "LINGO-1 is a component of the Nogo-66 receptor/p75 signaling complex," <i>Nat. Neurosci.</i> 7:221-8, Nature Publishing Group (2004)			
	NPL19	Mi, S., <i>et al.</i> , "A Novel CNS-Specific Protein Promotes Axonal Elongation by Modulating RHOA Signaling," <i>Society for Neuroscience Abstracts</i> , Abstract No. 891.5 (2003)			
	NPL20	Mi, S., <i>et al.</i> , "LINGO-1 Negatively Regulates Myelination by Oligodendrocytes," <i>Nat. Neurosci.</i> 8:745-51, Nature Publishing Group (2005)			
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	NPL21	Okafuji, T., <i>et al.</i> , "Expression Pattern of LINGO-1 in the Developing Nervous System of the Chick Embryo," <i>Gene Expr. Patterns</i> 6:57-62, Elsevier (2005)	
	NPL22	Park, J.B., <i>et al.</i> , A TNF Receptor Family Member, TROY, is a Coreceptor with Nogo Receptro in Mediating the Inhibitory Activity of Myelin Inhibitors," <i>Neuron</i> 3:345-51, Cell Press (2005)	
	NPL23	Shao, Z., <i>et al.</i> , "TAJ/TROY, an Orphan TNF Receptor Family Member, Binds Nogo-66 Receptor 1 and Regulates Axonal Regeneration," <i>Neuron</i> 45:353-9, Cell Press (2005)	
	NPL24	Trifunovski, A. <i>et al.</i> "Neuronal activity-induced regulation of LINGO-1," <i>Neuroreport</i> 15:2397-2400 (2004)	
	NPL25	Yu, W., <i>et al.</i> , "Segregation of Nogo66 Receptors into Lipid Rafts in Rat Brain and inhibition of Nogo66 signaling by cholesterol depetion," <i>FEBS Lett.</i> 577:87-92 (2004)	
	NPL26	NCBI Entrez, Accession No. BC011057, Strausberg, R.L., <i>et al.</i> (first available 07/30/2001; last updated 07/15/2006)	
	NPL27	NCBI Entrez, Accession No. BC068558, Strausberg, R.L., <i>et al.</i> (first available 04/06/2004; last updated 07/21/2005)	
	NPL28	NCBI Entrez, Accession No. NM_152570, Clark, H.F., <i>et al.</i> (first available 09/06/2002; last updated 12/25/2005)	
	NPL29	NCBI Entrez, Accession No. NM_032808, Carim-Todd, L., <i>et al.</i> (first available 05/31/2001; last updated 09/17/2006)	
	NPL30	NCBI Entrez, Accession No. DR000281, Birkett, C., <i>et al.</i> (first available 05/17/2005; last updated 05/17/2005)	

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				Application Number	10/553,685 (U.S. National Phase of PCT/US2004/008323)
				I.A. Filing Date	March 17, 2004
				First Named Inventor	Sha MI
				Art Unit	To be assigned
				Examiner Name	To be assigned
Sheet	4	of	4	Attorney Docket Number	2159.0440003/EJH/J-H

	NPL31	NCBI Entrez, Accession No. AY324320, Carim-Todd, L., <i>et al.</i> (first available 05/04/2004; last updated 05/04/2004)
	NPL32	NCBI Entrez, Accession No. AY324323, Carim-Todd, L., <i>et al.</i> (first available 05/04/2004; last updated 05/04/2004)

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